Docket No.

265774US0X PCT

## PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Oliver MOELLER, et al.

10/525,376 May 8, 2006 GAU:

1754

FILED:

SERIAL NO:

**EXAMINER:** 

FOR:

PROCESS FOR THE HYDROFORMYLATION OF OLEFINICALLY UNSATURATED COMPOUNDS, IN

PARTICULAR OLEFINS, IN THE PRESENCE OF CYCLIC CARBONIC ESTERS

### **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 265774US0X PCT	SERIAL NO. 10/525,376						
				APPLICANT						
LIST OF	REFE	RENCES CITED BY AP	PLICANT	Oliver MOELLER, et al.						
				FILING DATE		GROUP				
				May 8, 2006		1754				
U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE				
	AA	6,570,033	05/27/2003	RÖTTGER, et al.						
	AB	7,009,068	03/07/2006	SCHMUTZLER, et al.						
	AC	US2004/0236133 A1	11/25/2004	SELENT, et al.						
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Examiner	Examiner Date Considered									
*Examiner: In conformance	*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									



Docket No.: 265774US0X PCT

Serial No.: 10/525,376

Inventor: Oliver MOELLER, et al.

LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56

Filing Date: May 8, 2006

Group: 1754

# LIST OF RELATED CASES

Examiner Initial Docket No.		Serial or <u>Patent</u> <u>Number</u>	Filing or <u>Issue</u> <u>Date</u>	Patent App. <u>Publication No.</u>	Inventor or Applicant
	288352US0XPCT	10/584,492	06/22/06		ORTMANN, et al.
	256426US0XPCT	10/505,879	09/03/04		BORGMANN
	214696US0	6,570,033	05/27/03		RÖTTGER, et al.
	246996US0XPCT	7,009,068	03/07/06		SCHMUTZLER, et al.
	246907US0XPCT	10/485,811	02/10/04	US2004/0236133 A1	SELENT, et al.
	265774US0XPCT*	10/525,376	05/08/06		MOELLER, et al.

Examiner

Date Considered

<sup>\*</sup>Present Application; listed for information NFO/sbs